(19) World Intellectual Property Organization
International Bureau



## 

(43) International Publication Date 15 February 2001 (15.02.2001)

PCT

English

## (10) International Publication Number WO 01/11011 A3

- (51) International Patent Classification?: C12 A61P 35/00, A61K 48/00
- (21) International Application Number: PCT/US00/21387
- (22) International Filing Date: 4 August 2000 (04,08,2000)
- (25) Filing Language:
- (26) Publication Language:
- (30) Priority Data: 60/147,324 5 August 1999 (05.08.1999) US 60/164,650 10 November 1999 (10.11.1999) US
- (71) Applicants and

55402 (US).

(72) Inventors: FURCHT, Leo, T. [US/US]; 2100 West 21st Street, Minneapolis, MN 55405 (US). VERFAILLIE, Catherine, M. [BE/US]; 585 Cretin Avenue South, St. Paul, MN 55116 (US). REYES, Morayama [US/US]; 1011 29th Avenue S.E., Apartment C, Minneapolis, MN 55414

(74) Agent: VIKSNINS, Ann, S.; Schwegman, Lundberg,

Woessner & Kluth, P.O. Box 2938, Minneaplois, MN

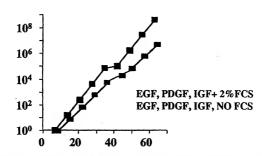
- - (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MY, ME, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TI, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, II, LU, MC, NL, PT, SB), OAPI patent (BF, B), CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- With international search report.
- (88) Date of publication of the international search report:

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MULTIPOTENT ADULT STEM CELLS AND METHODS FOR ISOLATION



(57) Abstract: The invention provides isolated stem cells of non-embryonic origin that can be maintained in culture in the undifferentiated state or differentiated to form cells of multiple tissue types. Also provided are methods of isolation and culture, as well as therapeutic uses for the isolated cells.

01/11011 A3

int tional Application No PCT/US 00/21387

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N5/06 A61P35/00 A61K48/00

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  $IPC \ 7 \ C12N \ A61K \ A61P$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, MEDLINE, SCISEARCH, CHEM ABS Data, EMBASE, BIOTECHNOLOGY ABS

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 10599 A (BRANDON MALCOLM ROY ;WILLIAMS ROBERT LINDSAY (AU); UNIV MELBOURNE) 20 April 1995 (1995-04-20) page 12; table 1	1-3, 8-30, 50-60
X	ROSFJORD EDWARD ET AL: "The octamer motif present in the Rex-1 promoter binds Oct-1 and Oct-3 expressed by EC cells and ES cells." BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS.	1-3
A	vol. 203, no. 3, 1994, pages 1795–1802, XP000979460 ISSN: 0006–291X page 1797, last paragraph –page 1798, paragraph 1; figure 1B	6
	-/	-

X Further documents are listed in the continuation of box C.	Palent family members are listed in annex.
* Special categories of citied documents:  "At document defining the general state of the art which is not considered be of particular elevance  "E" earlier document but published on or after the International fling date  "L" document which may have obtained on priority dating or which is class to establish the publication date of another or other special relation (as specifical).  "O document entering is an oral disclosure, use, schilbition or "Por document published prior to the International filing date but later than the proprinty date California."	The later document publisher after the international filing data clade to understand the principle or these discharged and clade to understand the principle or theory underlying the invention.  **Of the control of particular invention, the chained invention of particular invention or the considered to particular invention. The control is considered to the control of particular invention to considered to the considered to the other and invention to the other the document is later adone the other and the control of the control
Date of the actual completion of the international search	Date of mailing of the international search report
6 February 2001	21/02/2001
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5816 Patentham 2 NL – 2280 HV Rijswijk TeL (+31–70) 340–2040, Tx. 31 651 epo nl, Fan (-31–70) 340–3016	ALCONADA RODRIG, A

Int Lional Application No PCT/US 00/21387

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category \* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. 7-17, X HILTON D J ET AL: "DISTRIBUTION AND COMPARISON OF RECEPTORS FOR LEUKEMIA 20-30, INHIBITORY FACTOR ON MURINE HEMOPOIETIC 50-60.62 AND HEPATIC CELLS" JOURNAL OF CELLULAR PHYSIOLOGY, vol. 146, no. 2, 1991, pages 207-215, XP000981564 ISSN: 0021-9541 18 Υ figures 1.4.6: tables 1.3 ROSNER M H ET AL: "OCT-3 IS A MATERNAL 31 FACTOR REQUIRED FOR THE FIRST MOUSE EMBRYONIC DIVISION" CELL, vol. 64, no. 6, 1991, pages 1103-1110, XP000979417 ISSN: 0092-8674 page 1104, left-hand column, last paragraph -page 1105, right-hand column, paragraph 1: figure 2 WO 95 03062 A (CELLPRO INC) 32.33. 2 February 1995 (1995-02-02) 46.48 claims 1.2 page 8, 11ne 6-14 34,35 X CA 2 191 655 A (STEMCELL TECHNOLOGIES INC) 32,33,46 2 June 1997 (1997-06-02) page 6, line 16-30 34.35 page 19, line 6 -page 23, line 31 Υ CASSIEDE PIERRE ET Al: "Osteochondrogenic 34 potential of marrow mesenchymal progenitor cells exposed to TGF-beta-1 or PDGF-BB as assayed in vivo and in vitro. JOURNAL OF BONE AND MINERAL RESEARCH. vol. 11, no. 9, 1996, pages 1264-1273, XP000981538 ISSN: 0884-0431 figures 2,3; tables 1.2 36.38-44 X US 5 635 386 A (ARMSTRONG R DOUGLAS ET AL) 3 June 1997 (1997-06-03) column 8, line 7-18 35.37.45 column 13, second table see OTHER COMPONENTS column 9, line 8-24 X WO 99 35243 A (BEACH DAVID H : WANG JING 47 (US): COLD SPRING HARBOR LAB (US): HANNON) 15 July 1999 (1999-07-15) claims 1-4,14-18

2

Int itional Application No PCT/US 00/21387

(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
· · · ·	EP 0 627 487 A (IMMUNEX CORP)	49
,	7 December 1994 (1994-12-07)	18
	page 4, line 11-20 page 5, line 57,58	10
	page 5, Title 57,56	
	LENNON DONALD P ET AL: "A chemically	37
	defined medium supports in vitro	ł
	proliferation and maintains the	÷
	osteochondral potential of rat	+
	marrow-derived mesenchymal stem cells."	1
	EXPERIMENTAL CELL RESEARCH,	1
	vol. 219, no. 1, 1995, pages 211-222, XP000981537	1
	ISSN: 0014-4827	1
	the whole document	1
		ł
•	WO 99 11758 A (CARPENTER MELISSA	45
	;CYTOTHERAPEUTICS INC (US))	1
	11 March 1999 (1999-03-11)	1
	page 5, line 5-8 page 5, line 21 -page 6, line 9	1
	page 9, line 19-29	l
	example 1	
١ .	PITTENGER M F ET AL: "MULTILINEAGE	1-5
	POTENTIAL OF ADULT HUMAN MESENCHYMAL STEM	1
	CELLS" SCIENCE.US.AMERICAN ASSOCIATION FOR THE	1
	ADVANCEMENT OF SCIENCE,	1
	vol. 284, no. 5411,	1
	2 April 1999 (1999-04-02), pages 143-147,	1
	XP000867221	1
	ISSN: 0036-8075	ì
	cited in the application	1
	the whole document	
١	BEN-SHUSHAN ETTI ET AL: "Rex1, a gene	6
•	encoding a transcription factor expressed	1
	in the early embryo, is regulated via	1
	Oct-3/4 adm Oct-6 binding to and octamer	1
	site and a novel protein, Rox-1, binding	1
	to an adjacent site."	1
	MOLECULAR AND CELLULAR BIOLOGY,	j
	vol. 18, no. 4, April 1998 (1998-04), pages 1866-1878, XP002159568	1
	ISSN: 0270-7306	
	page 1868, right-hand column, paragraph 2;	1
	figure 2	l l
	abstract	
	-/	
	-/ <del>-</del> -	
	8	
		1
	I .	1

Int .tional Application No PCT/US 00/21387

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category \* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. RAPTIS A ET AL: "Polymorphism in CD33 and 61 CD34 genes: A source of minor histocompatibility antigens on haemopoietic progenitor cells?" BRITISH JOURNAL OF HAEMATOLOGY, vol. 102, no. 5, 1998, pages 1354-1358, XP000981540 ISSN: 0007-1048 the whole document WO 99 27076 A (LORING JEANNE F : ARC 63 GENOMIC RESEARCH (US)) 3 June 1999 (1999-06-03) page 20, line 4-16

information on patent family members

int tional Application No PCT/US 00/21387

Patent document cited in search report		Publication date	Paten mem	it family iber(s)		Publication date
WO 9510599	A	20-04-1995	AU 7	934594	A	04-05-1995
WO 9503062	Α	02-02-1995	AU 7	7404494	A	20-02-1995
CA 2191655	A	02-06-1997	NONE			
US 5635386	A	03-06-1997	US 5	399493	Α	21-03-1995
			AU 3	3422893	Α	28-07-1993
			WO 9	312805	Α	08-07-1993
			ΑU	687674	В	26-02-1998
			AU 5	5059296	Α	11-07-1996
			AU	665525	В	11-01-1996
			AU 9	175091	Α	22-07-1992
			CA 2	2100268	Α	18-06-1992
			EP (	0575350	Α	29-12-1993
			JP 11	1221074	Α	17-08-1999
			JP 6	5505151	T	16-06-1994
				189157	À	11-07-2000
			KR	225307		15-10-1999
				211355		09-07-1992
				5670351		23-09-1997
			US S	5437994	Ä	01-08-1995
				5670147		23-09-1997
			US !	5646043	Ä	08-07-1997
				5605822		25-02-1997
			AT	148502	Ϋ́	15-02-1997
				2062741	À	16-12-1990
				9029856		13-03-1997
				9029856	Ť	04-09-1997
			DK	477290	T	21-07-1997
			EP (	0477290	Α	01-04-1992
				0753574	Α .	15-01-1997
			ES 2	2097149	T	01-04-1997
				4506153	T	29-10-1992
			KR	196062		15-06-1999
			KR	201662		15-06-1999
			KR	201663		15-06-1999
				9015877		27-12-1990
				5459069		17-10-1995
				5763266		09-06-1998
			US !	5888807 	A 	30-03-1999
WO 9935243	A	15-07-1999		2317299 1045697		26-07-1999 25-10-2000
				,		
		07_12_1004	115	555/512	Δ	10-09-1996
EP 0627487	Α	07-12-1994		5554512		10-09-1996
EP 0627487	Α	07-12-1994	AU	683472	В	13-11-1997
EP 0627487	Α	07-12-1994	AU AU	683472 6987794	B A	13-11-1997 20-12-1994
EP 0627487	Α	07-12-1994	AU AU BR	683472 6987794 9407073	B A A	13-11-1997 20-12-1994 27-08-1996
EP 0627487	Α	07-12-1994	AU AU BR	683472 6987794 9407073 2162397	B A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994
EP 0627487	Α	07-12-1994	AU AU BR CA CN	683472 6987794 9407073 2162397 1125479	B A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994 26-06-1996
EP 0627487	Α	07-12-1994	AU AU BR CA CN CZ	683472 6987794 9407073 2162397 1125479 9503079	B A A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994 26-06-1996 16-10-1996
EP 0627487	A	07-12-1994	AU AU BR CA CN CZ FI	683472 6987794 9407073 2162397 1125479 9503079 955646	B A A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994 26-06-1996 16-10-1996 23-01-1996
EP 0627487	Α	07-12-1994	AU BR CA CN CZ FI HU	683472 6987794 9407073 2162397 1125479 9503079 955646 74831	B A A A A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994 26-06-1996 16-10-1996
EP 0627487	A	07-12-1994	AU AU BR CA CN CZ FI HU JP	683472 6987794 9407073 2162397 1125479 9503079 955646 74831 8511251	B A A A A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994 26-06-1996 16-10-1996 23-01-1996 28-02-1997
EP 0627487	A	07–12–1994	AU BR CA CN CZ FI HU	683472 6987794 9407073 2162397 1125479 9503079 955646 74831	B A A A A A A A A A A A A	13-11-1997 20-12-1994 27-08-1996 08-12-1994 26-06-1996 16-10-1996 23-01-1996 28-02-1997 26-11-1996

Information on patent family member

Im. stonal Application No PCT/US 00/21387

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
EP 0627487	A		WO	9428391 A	08-12-1994
בו טטבי וטי			us	5843423 A	01-12-1998
			ZÁ	9403490 A	23-01-1995
			AU	702179 B	18-02-1999
			AU	2098295 A	25-09-1995
			CN	1142247 A	05-02-1997
			EP	0749472 A	27-12-1996
			FΙ	963373 A	29-08-1996
			NO	963630 A	07-11-1996
			NZ	282999 A	19-12-1997
			WO	9524469 A	14-09-1995
WO 9911758	Α	11-03-1999	us	5968829 A	19-10-1999
WO 3311730	^	11 00 1333	AU	9305998 A	22-03-1999
			ÉP	1007636 A	14-06-2000
			ūs	6103530 A	15-08-2000
WO 9927076	Α	03-06-1999	AU	1704899 A	15-06-1999
HO 3327070			EP	1060244 A	20-12-2000